

FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY DOCKET NO. 1450.1004C	APPLICATION NO. TBD
LIST OF REFERENCES CITED BY APPLICANT  (Use several sheets if necessary)		FIRST NAMED INVENTOR Hiroyuki UTSUMI et al.	
		FILING DATE April 8, 2004	GROUP ART UNIT 2115

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NO.	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
CC	AA	5,361,365	11/94	Hirano et al.			
CC	AB	5,802,003	09/98	Iadanza et al.			
CC	AC	6,192,469	02/01	Smalley et al.			
CC	AD	6,473,853	10/02	Spiegel et al.			
	AE						
	AF						

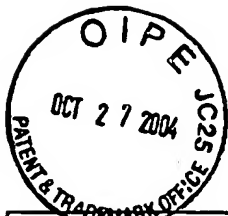
## FOREIGN PATENT DOCUMENTS

		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION YES NO	
	AG							
	AH							
	AI							
	AJ							
	AK							
	AL							

## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

							TRANSLATION YES NO	
	AM							

EXAMINER  /Chun Cao/	DATE CONSIDERED  06/08/2006
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	



Sheet 1 of 1

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	1450.1004C	10/820,019
	FIRST NAMED INVENTOR	
	Hiroyuki UTSUMI et al.	
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**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NO.	DATE	COUNTRY	TRANSLATION YES NO		ABSTRACT
CC	AG	3-149621	06/26/1991	Japan	Abstract only		
CC	AH	3-48345	03/01/1991	Japan	Abstract only		
CC	AI	8-272679	10/18/1996	Japan	Abstract only		
CC	AJ	11-232183	08/27/1999	Japan	Abstract only		
CC	AK	11-353161	12/24/1999	Japan	Abstract only		
CC	AL	58-46454	03/17/1983	Japan	Abstract only		

**OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)**

			TRANSLATION YES NO	
	AM			

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**ATTACHMENT 1(g)**

<b>LIST OF ADDITIONAL SUBMITTED DOCUMENTS</b>	ATTORNEY DOCKET NO.	APPLICATION NO.
	1450.1004C	10/820,019
	FIRST NAMED INVENTOR	
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The following document(s) is/are listed in accordance with the duty of disclosure provisions of 37 CFR § 1.56, so that the Examiner may consider same should he deem any thereof to be material to examination of the subject application.

It is requested that the Examiner acknowledge his consideration of document(s) below-listed by initialling same in the space provided adjacent each such application and that the Examiner sign and date this form at the bottom thereof to confirm such consideration having been given.

**This submission in no way represents an admission that any of the information listed herein constitutes prior art with respect to the subject application; and unless and until such prior art status is established, this submission is not a request that the information presented herein be printed on the face of any patent Issuing from the subject application in which this information is being filed.**

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	AA						
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							YES	NO
	AC							
	AD							

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

				TRANSLATION	
				YES	NO
CC	AE	Notification of Reason(s) for refusal for Japanese reference number 9940883 and copy of Japanese reference 9940883 dispatched July 27, 2004.			Yes

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FORM PTO-1449  U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE  <b>INFORMATION DISCLOSURE STATEMENT</b>  (Use several sheets if necessary)	ATTORNEY DOCKET NO.	APPLICATION NO.
	1450.1004C	10/820,019
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### FOREIGN PATENT DOCUMENTS

		DOCUMENT NO.	DATE	COUNTRY	TRANSLATION YES NO		ABSTRACT
CC	AG	10-187642	7/21/98	Japan	Abstract only		
	AH						
	AI						
	AJ						
	AK						
	AL						

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

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							YES	NO
	AC							
	AD							

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

			TRANSLATION	
			YES	NO
CC	AE	Japanese Office Action dated March 15, 2005 for App. No 2000-006823		X

EXAMINER	/Chun Cao/	DATE CONSIDERED	06/08/2006
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		DOCUMENT NO.	DATE	COUNTRY	<u>TRANSLATION</u> YES NO		ABSTRACT
CC	AG	2-163848	06/25/1990	Japan			X
	AH						
	AI						
	AJ						
	AK						
	AL						

### OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

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	AC							
	AD							

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				TRANSLATION	
				YES	NO
CC	AE	Japanese Office Action for patent application number 2000-006823, dated 9/20/2005 (4 pgs)		X	

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